

DEC 13 2004

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**Fax Transmission**

TO: Commissioner for Patents  
Attn: Examiner Daniel Felten  
P.O. Box 1450  
Alexandria, VA 22313-1450

FROM: Brian N. Tufte

OUR REF: 1137.1101101  
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Title of Document Transmitted: Response to Action dated December 2, 2004Applicant: Scott FergussonSerial No.: 09/917,120Filed: July 27, 2001Group Art Unit: 3624Our Ref. No.: 1137.1101101Confirmation No. 2233

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Scott Fergusson

Examiner: Daniel Felten

Serial No.: 09/917,120

Group Art Unit: 3624

Filed: July 27, 2001

Docket No.: 1137.1101101

For: METHODS AND SYSTEMS FOR ASSISTING FINANCIAL SERVICES FIRMS  
AND THEIR REPRESENTATIVES


Confirmation No.: 2233

**RESPONSE**

Mail Stop Amendment  
Assistant Commissioner for Patents  
PO Box 1450  
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This response is being filed in response to the Official Action of the Examiner mailed  
December 2, 2004, setting a one-month shortened statutory period for response ending January 2,  
2005.

Amendments to the Claims are reflected in the listing of claims which begins on page 2  
of this paper.

Remarks/Arguments begin on page 11 of this paper.